YAO-3750US4 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ppln. No:

10/712,126

Applicant:

Kazuhisa Yamamoto et al.

Filed:

November 13, 2003

Title:

OPTICAL DEVICE, LASER BEAM SOURCE, LASER APPARATUS AND

METHOD OF PRODUCING OPTICAL DEVICE

TC/A.U.:

2828

Examiner:

Dung T. Nguyen

Deal of No.

Confirmation No.: 7681

Docket No.:

YAO-3750US4

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a submitted herewith. A copy of each of the references listed on the PTO/SB/08a is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

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The following references are not in the English language:

- 1) JP 06-011750
- 2) JP 06-194708
- 3) JP 05-249521
- 4) JP 05-034611
- 5) JP 58-044785
- 6) JP 07-099360
- 7) JP 06-045669
- 8) JP 05-232605
- 9) JP 06-258597
- 10) JP 06-308408

References 1) to 10) include English language abstracts.

References JP 06-273814 and JP 05-232536 were previously cited in a Supplemental Information Disclosure Statement dated November 13, 2003.

More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, as more specifically indicated below, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

STATEMENT UNDER 37 C.F.R. § 1.97(e)

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a

foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted

Lawrence E. Ashery, Reg. No. 34,515
Attorney for Applicants

LEA/ds

Enclosures: PTO Form SB/08a

(10) References Transmittal Form

Dated:

August 7, 2006

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:

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August 7, 2006

Deborah Spratt Deburah Spratt

ds/49655

PTO/SB/08a (07-05) (TMB 07/2005)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Complete if Known	
Application Number	10/712,126	
Filing Date	11/13/2003	
First Named Inventor	Kazuhisa Yamamoto et al.	
Art Unit	2828	
Examiner Name	Dung T. Nguyen	
Attorney Docket No.	YAO-3750US4	

SHEET 1 of 1			Attorney Docket No.		YAO-3750US4			
			U.S. PATI	ENT D	OCUMENTS			
Examiner Cite		Document Number	Publication	Date	Date Name of Patentee of			
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Examiner Initials* Cite No.1		Foreign Patent Docum			Name of Patentee or Applicant of Cited			
		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)		Publication Date (MM-DD-YYYY)		Document	Figures Appear	Τ ⁶
		JP 06-011750 (w/English Abs	stract)	ct) 01/21/1994		PHILIPS ELECTRON NV		
		JP 06-194708 (w/English Abs	stract) 07/15/199		/15/1994	OKI ELECTRIC IND DO LTD		
		JP 05-249521 (w/English Abs	tract) 09/28/1993		HITACHI LTD			
		JP 05-034611 (w/English Abs	stract)	oct) 02/12/1993		FUJI PHOTO FILM C	0	
		JP 58-044785 (w/English Abstract)		03,	/15/1983	KOKUSAI DENSHIN DENWA CO LTD		
		JP 07-099360 (w/English Abstract)		04,	/11/1995	MATSUSHITA ELECTRIC IND CO LTD		
		JP 06-045669 (w/English Abstract)		02,	/18/1994	NEC CORP		
		JP 05-232605 (w/English Abstract)		09,	/10/1993	FUJI PHOTO FILM C	0	
	,	JP 06-258597 (w/English Abstract)		09,	/16/1994	SHARP CORP		
		JP 06-308408 (w/English Abstract)		11/04/1994		OLYMPUS OPTICAL CO LTD		
Examiner Signature						Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.